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# Contents

<b>1</b>	<b>Activities included .....</b>	<b>3</b>
<b>2</b>	<b>Contributions to total emissions .....</b>	<b>3</b>
<b>3</b>	<b>General .....</b>	<b>3</b>
3.1	Description .....	3
3.2	Definitions .....	3
3.3	Techniques .....	4
3.4	Emissions.....	4
3.5	Controls .....	4
<b>4</b>	<b>Simpler methodology .....</b>	<b>4</b>
<b>5</b>	<b>Detailed methodology.....</b>	<b>5</b>
<b>6</b>	<b>Relevant activity statistics .....</b>	<b>5</b>
<b>7</b>	<b>Point source criteria .....</b>	<b>5</b>
<b>8</b>	<b>Emission factors, quality codes and references .....</b>	<b>6</b>
<b>9</b>	<b>Species profiles .....</b>	<b>6</b>
<b>10</b>	<b>Uncertainty estimates .....</b>	<b>7</b>
<b>11</b>	<b>Weakest aspects/priority areas for improvement in current methodology</b>	<b>7</b>
<b>12</b>	<b>Spatial disaggregation criteria for area sources .....</b>	<b>7</b>
<b>13</b>	<b>Temporal disaggregation criteria .....</b>	<b>7</b>
<b>14</b>	<b>Additional comments.....</b>	<b>7</b>
<b>15</b>	<b>Supplementary documents .....</b>	<b>8</b>
<b>16</b>	<b>Verification procedures .....</b>	<b>8</b>
<b>17</b>	<b>References .....</b>	<b>8</b>
<b>18</b>	<b>Point of enquiry .....</b>	<b>9</b>

# 1 Activities included

Lightning and corona discharge during thunderstorm events cause atmospheric chemical reactions to take place at high voltages and high temperatures. These reactions cause the production of NO<sub>x</sub> in the atmosphere. Such production processes are, strictly speaking, not real emissions as the compounds involved (primarily N<sub>2</sub> and O<sub>2</sub>) are not injected into the atmosphere but are present anyway. However, as these processes cannot adequately be described by conventional atmospheric models on one hand, and their impact is eventually identical to those from (anthropogenic) emissions on the other hand, they are easy to be compared on the emission level and thus are frequently treated as such.

## 2 Contributions to total emissions

Global NO<sub>x</sub> production by lightning has been estimated in the range of 3–5 Tg N/yr [1]. For the U.S., 40 % of the yearly lightning-generated NO is estimated to be produced during the summer months [2]. Other estimates using the calculation schemes given below indicate that the lightning NO comprises only 3 % of the total NO<sub>x</sub> emissions budget, with a maximum contribution of 24 % at the maximum hour with respect to anthropogenic emissions at a definite period in summer for the U.S. North-East [3].

These figures apply to emissions within the whole troposphere. Emissions in the boundary-layer (circa lowest 1 km) are obviously considerably less. In reporting emissions under the joint European Monitoring and Evaluation Programme (EMEP)/Corinair system, care must be taken to report only emissions between the ground and 1 km — see section 8 of the present chapter.

This activity is not believed to be a significant source of PM<sub>2.5</sub> (as of December 2006).

## 3 General

### 3.1 Description

The electrical discharge of lightning creates plasma channels in the atmosphere characterized by the high fraction of ionic loads and high temperatures. Major compounds of the atmosphere, notably nitrogen, oxygen, and water, may be ionized and then undergo chemical transformation. While the exact pathway of such transformations is largely unknown, a few assessments have been made [4, 5]. A major species produced is nitric oxide (NO), but also other compounds containing nitrogen, oxygen and hydrogen atoms are formed. Crucial for the formation is the high temperature during the flash (up to 30 000K) and the subsequent rapid cooling below 1 500 K, which prevents the freshly formed NO from immediate destruction.

### 3.2 Definitions

Lightning: atmospheric discharge during thunderstorm events

CG-discharge: flash starting in the cloud, bringing several coulomb of negative charge to earth within about 0.5 s (negative discharge)

IC-discharge: flash that does not connect to earth: intracloud, intercloud and cloud-to-air flashes

### 3.3 Techniques

While lightning exhibits different characteristics depending on whether it is cloud-to-ground (CG), cloud-to-cloud or within-cloud (inter- and intra-cloud, IC), emission estimation techniques have not been resolved to this level of detail. It has been reported that IC discharges may be up to 10 times less efficient in producing NO<sub>x</sub> than the CG discharges [4]. However, newer information suggests that these discharges may be nearly equal [1, 5]. The amount and distribution of NO produced is believed to be dependent on the energy and the frequency of lightning strokes, which in turn is dependent on cloud temperatures and cloud heights. IC lightning is known to be more frequent than CG lightning. The ratio has been correlated to the cold cloud thickness (cold cloud = below freezing), representing the size of the electric field involved that may determine the number of IC flashes [6]. Despite generally large variations of this ratio, a dependence on geographical latitude has been found using cold cloud thickness as a parameter.

### 3.4 Emissions

Out of the compounds being formed in lightning discharges, numbers are given only for NO and NO<sub>2</sub> as NO<sub>x</sub>. These emissions seem to be the most relevant.

Differentiation needs to be made for IC and CG lightning, especially with respect to their injections into the atmosphere as relevant for models. IC lightning occurs at altitudes above about 5 km and may be neglected in some boundary layer models, while CG lightning is expected to reach from the ground to about 7 km high (north of 30 ° latitude) or 10 km high (south of 30 ° latitude). The NO formed is distributed decreasing with height as a function of air density [7]. For a 7 km flash, about 20 % of the emissions would then occur in the lowest 1 000 m, and 80 % between ground level and 5 km.

In [3] the IC component — here only calculated in the detailed methodology — is assumed to add an extra 21 % NO at 60 ° N and 61 % at the equator. All IC flashes are assumed to occur above a height of 5 km.

### 3.5 Controls

There are no controls to natural emissions by definition.

## 4 Simpler methodology

The number of lightning flashes can be obtained from measurements (see section 5 of the present chapter), or from estimations. In the latter case, the flashes are estimated from meteorological data on thunderstorm occurrence and from the geographical latitude of the area considered. Support for these estimations may be given by satellite data [8]. Emissions are then calculated according to [3] :

$$CG_{NO} = E \times M$$

where

CG<sub>NO</sub> = is the NO produced by the cloud-to-ground part of the lightning flash,  
 E = 4 x 10<sup>8</sup> J per cloud-to-ground flash, and  
 M = 9 x 10<sup>16</sup> molecules NO / J.

Calculated in mass units, this yields 2.75 kg NO<sub>x</sub> (calculated as NO<sub>2</sub>) per flash of lightning. About 20 % of this amount is assumed to be emitted below 1 km altitude, 80 % below 5 km altitude.

## 5 Detailed methodology

The difference of the detailed methodology is that the number of lightning flashes is actually counted and cloud-to-cloud flashes are included. Data for the U.S. are available from the East Coast lightning detection network, or from the lightning strike data archive from Global Atmospheric, Inc. in Tucson, AZ. In many European countries, especially in Western Europe, national networks are operative and may be accessible through the respective national meteorological service. These networks do not include cloud-to-cloud (IC) flashes however.

Emissions now are calculated as [9]:

$$LNO = (N_{CG} \cdot EF_{CG} / \varepsilon_{CG}) + [(N_{CG} / \varepsilon_{CG}) \cdot (10/(1 + (\Phi/30)^2) - 1)] \cdot EF_{IC}$$

where:

- LNO = NO emissions for lightning flashes in study area, molecules NO,
- N<sub>CG</sub> = number of cloud-to-ground (CG) flashes recorded,
- ε<sub>CG</sub> = efficiency of the CG network,
- EF<sub>CG</sub> = emission factor for NO for each CG lightning flash,
- Φ = latitude of the study area in degrees,
- EF<sub>IC</sub> = emission factor for NO for each inter- or intra - cloud (IC) lightning flash.

The emission factors needed for calculation are given in section 8 of the present chapter. For the U.S. East Coast, the efficiency has been reported to be 0.7 [9]. The equation takes care of the fact that IC lightning is, dependent on the latitude, about four times as frequent as CG lightning. While recent investigations [6] indicate a less pronounced latitude dependence than the one given here, the results are virtually identical at 40 ° latitude.

Emissions from IC flashes are assumed to take place above 5 km altitude only. In contrast, 80 % of the CG-lightning emissions are expected at altitudes below 5 km, and 20 % even below 1 km.

## 6 Relevant activity statistics

Meteorological data on lightning frequencies need to be obtained. Lightning climatologies are being produced or are available in the meteorological offices of many countries. The data may either derive from reporting thunderstorm events, from observation networks, or from satellite information.

## 7 Point source criteria

No point sources.

## 8 Emission factors, quality codes and references

A large variety of emission factors is given in the literature from laboratory as well as field investigations. According to [10], the low, median and high end of these estimates may be given at  $0.36 \times 10^{26}$ ,  $4 \times 10^{26}$ , and  $30 \times 10^{26}$  molecules NO per flash. As indicated in [10] and also discussed in [3], the highest of these figures (from [11]) is not supported by studies modelling nitrate deposition. [1] Estimates global NO<sub>x</sub> production from a best fit approximation between a global model and observations from regions where lightning is expected to be a major source. This study yields results close to the lowest of the three factors given, thus we recommend to apply the factor of  $0.36 \times 10^{26}$  molecules NO (2.75 kg NO<sub>x</sub>) for each flash of lightning as EF<sub>CG</sub>. Only part of these emissions should be reported (see Table 8.1).

Literature data [4, 9] suggest an emission factor for IC lightning of an order of magnitude lower than for CG lightning. We are tentatively recommending that EF<sub>IC</sub> be set equal to  $0.36 \times 10^{25}$  molecules NO (275 g NO<sub>x</sub>). Recent theoretical considerations [5] however indicate that such a low emission factor might not be realistic. The total energy dissipated in an IC flash should then be at least as high as in GC flashes. Even considering the decreased NO formation at high altitude the EF<sub>IC</sub> should be considerably higher (maybe a factor 5). However quantification is missing, and the emissions only concern altitudes above 5 km anyway (where they are relevant primarily on the global scale). Thus, an update will only be given at a later stage when new evidence emerges.

All recommended emission factors are compiled in the following table for the respective altitudes. Note that reporting will only be necessary for lightning emissions up to one km at this stage. The upper layer emissions may be needed at a later stage only.

**Table 8.1: Recommended emission factors per flash of lightning in molecules NO and kg NO<sub>x</sub> (calculated as NO<sub>2</sub>), respectively.**

ALTITUDE	EF <sub>CG</sub> (molecules)	EF <sub>CG</sub> (kg)	EF <sub>IC</sub> (molecules)	EF <sub>IC</sub> (kg)	COMMENT
below 1 km	$0.72 \times 10^{25}$	0.55	0	0	report
1 km to 5 km	$2.16 \times 10^{25}$	1.65	0	0	do not report !
above 5 km	$0.72 \times 10^{25}$	0.55	$0.36 \times 10^{25}$	0.275	do not report !
Total	$3.6 \times 10^{25}$	2.75	$0.36 \times 10^{25}$	0.275	do not report !

Because of the uncertainty in the NO production factors, we assume a quality code of D. Additional information on uncertainties can be obtained from [1] and [12].

## 9 Species profiles

Emissions are given for NO<sub>x</sub>. While virtually all of the oxidation product is originally NO, a considerable part is transformed to NO<sub>2</sub> very quickly (depending on ozone availability etc.). This part may be in the order of 25 % of the original NO [11].

## 10 Uncertainty estimates

The uncertainty with the emission factors has been estimated a factor of three; however, the validity of these results has to be checked with respect to those literature estimates giving results different by up to an order of magnitude (see [5] and [10]).

## 11 Weakest aspects/priority areas for improvement in current methodology

Depending on the methodology of assessing the emission factors, there are still large discrepancies. These have to be settled before any more detailed estimations can be performed. In addition, the chemical conversion processes in lightning need to be better understood, especially with regard to IC lightning.

## 12 Spatial disaggregation criteria for area sources

Spatial disaggregation can be performed according to the distribution of lightning and thunderstorm events.

## 13 Temporal disaggregation criteria

Temporal disaggregation should be done according to diurnal and annual cycle of thunderstorm/lightning activity.

## 14 Additional comments

Lightning is not known to be influenced by humans at all, thus it should be considered as a purely natural source.

## 15 Supplementary documents

## 16 Verification procedures

## 17 References

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## 18 Point of enquiry

Enquiries concerning this chapter should be directed to the relevant leader(s) of the Task Force on Emission Inventories and Projection's expert panel on Agriculture and Nature. Please refer to the TFEIP website ([www.tfeip-secretariat.org/](http://www.tfeip-secretariat.org/)) for the contact details of the current expert panel leaders.